
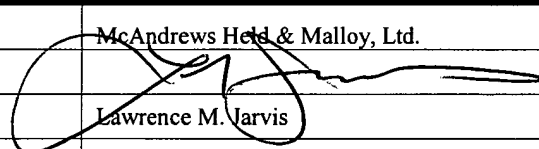
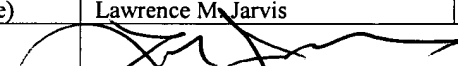


<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after <del>transmission</del> )		Application Number      09/639,478	
		Filing Date              August 15, 2000	
		First Named Inventor      Robert Carey	
		Art Unit                      3625	
		Examiner Name              Nicholas D. Rosen	
Total Number of Pages in This Submission      7		Attorney Docket Number      12672US01	
<b>ENCLOSURES (check all that apply)</b>			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	
		<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return-Receipt Postcard <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
		Remarks	Issue Fee
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm	McAndrews Held & Malloy, Ltd.		
Signature			
Printed Name	Lawrence M. Jarvis		
Date	June 8, 2005		
<b>CERTIFICATE OF MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 06-08-05			
Name (Print/type)	Lawrence M. Jarvis	Registration No. (Attorney/Agent)	27,341
Signature			Date      06-08-05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Case No. 12672US01

PATENT



In the Application of:

ROBERT CAREY

Serial No.: 09/639,478

Filed: August 15, 2000

For: TECHNIQUES OF SELECTING  
SECURITIES FOR A PORTFOLIO  
USING BUYBACK RATIO AND  
DIVIDEND YIELD

Examiner: Nicholas D. Rosen

Group Art Unit: 3625

Confirmation No.: 9992

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commission of Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this 8<sup>th</sup> day of June, 2005.

By: \_\_\_\_\_

Lawrence M. Jarvis

Reg. No. 27,341

Attorney for Applicant

**TRANSMITTAL OF FORMAL DRAWINGS**

Mail Stop: Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

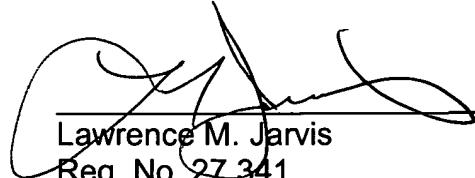
In response to the Notice of Allowance mailed March 9, 2005, Applicant encloses herewith one sheet of formal drawing as required by the Office Action mailed July 10, 2003. Applicant requests that the same be made of record in this application as a substitute for the informal drawing filed with the application on August 15, 2000. A copy of the informal drawing for Figure 1 is also enclosed.

It appears that all informalities noted by the Draftsperson have been corrected and that the patent should issue upon submission of this drawing.

The Commissioner is also authorized to charge any additional fees or credit any overpayment to Deposit Account No.13-0017.

Date: June 8, 2005

Respectfully submitted,



Lawrence M. Jarvis  
Reg. No. 27,341  
Attorney for Applicant

McAndrews, Held & Malloy, Ltd.  
500 W. Madison, 34<sup>th</sup> Floor  
Chicago, IL 60661  
PHONE: (312) 775-8000